

Three-Charge Black Holes on a Circle

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Based on: [hep-th/0606246](https://arxiv.org/abs/hep-th/0606246)

(with [Harmark](#), [Kristjansson](#), [Roenne](#))

[0503020](#) (review), [0407094](#) (JHEP), [0403103](#) (JHEP),

[0309230](#) (NPB), [0309116](#) (CQG), [0204047](#) (JHEP)

(with [T. Harmark](#))

[0407050](#) (JHEP) (with [H. Elvang](#) and [T. Harmark](#))

[0509011](#) (JHEP) (with [V. Niarchos](#) and [T. Harmark](#))

Introduction/Motivation

■ 3-charge black holes (in 5D) play prominent role in ST:

- BH entropy can be explained by microscopic counting of degeneracy of states (D-brane configurations)

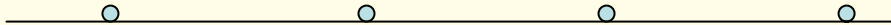
Strominger, Vafa/Callan, Maldacena/
Horowitz, Strominger/Horowitz, Maldacena, Strominger

- fertile ground for further exploration of microscopic origin of entropy (also: 2-charge case)

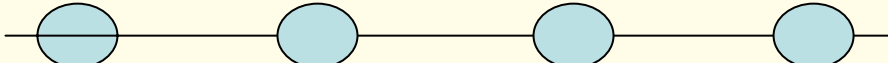
Mathur et al

- AdS_3/CFT_2 correspondence

■ what happens to entropy of these 3-charge systems when we compactify one of the directions, i.e. asymptotic space is $\mathcal{M}^4 \times S^1$

extremal 

→ infinite array on covering space of circle $S = 2\pi\sqrt{N_1 N_4 N_0}$.

non-extremal 

Main results

- can compute **corrections to the entropy** in small mass (large radius) limit

- result for near-extremal limit

$$S = 2\pi\sqrt{N_1 N_4 N_0} \left(1 + \sqrt{\frac{\epsilon}{8}} + \frac{\epsilon}{16} + \mathcal{O}(\epsilon^{3/2}) \right)$$

- also compute: partial near-extremal limit (one finite charge)

- show that: first correction to finite entropy is in agreement with **microscopic entropy formula**

$$S = 2\pi\sqrt{N_1 N_4} \left[\sqrt{N_0} + \sqrt{\bar{N}_0} \right]$$

need to take into account that number of D0 and anti-D0 branes shift as consequence of interactions across circle

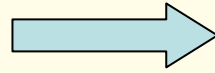
- also obtain:
 - **entire phase** (numerically) of non- and near-extremal three-charge black holes localized on circle
 - **rich phase structure** that includes new phase of three-charge black holes that are non-uniformly distributed on circle

Method: Map GR to String/M-theory

(Hassan, Sen)

boost/U-duality

static and neutral
Kaluza-Klein black holes



non-extremal branes on
a transverse circle (II/M)



near-extremal limit

solutions of pure gravity with event horizon
asymptoting to d -dimensional Minkowski-
space times a circle

near-extremal branes

■ was applied to get:

near-extremal p -branes
on a transverse circle
($p = 9 - d$)

gauge/gravity
correspondence



thermal physics of
($p + 2$)-dim. SYM on S^1 ; (2,0) LST

Harmark, NO

Bostock, Ross/Aharony, Marsano, Minwalla, Wiseman/

■ here: focus on KK BHs in five dimensions ($d = 4$)

Harmark, Kristjansson, NO, Roenne

non- and near-extremal three charge
branes on transverse circle

- D0-D4-F1 charges
(related by T-duality to D1-D5-P)
- reducing on w.v. gives 5D SUGRA soln

Kaluza-Klein Black Holes

- **Kaluza-Klein black holes** are interesting in their own right
 - richer phase structure when compact directions present
 - gravitational phase transitions between different solutions with event horizons (sometimes: topology change)
 - end-point of Gregory-Laflamme instability (new phases)
 - (non)-uniqueness theorems in higher dimensional gravity
Cf. situation in asymptotically flat spaces: **black rings** etc.
 - possible objects in universe/accelerators

- **phase structure of Kaluza-Klein black holes related to objects and phenomena in string theory/gauge theory**

→ many of these aspects have direct relevance for the physics of **3-charge black holes on circle**

in particular: much is known about **phase structure of 5D KK BHs**

Mass and tension for KK BHs

consider static solutions of vacuum Einstein equations with event horizon

- coordinates for $\mathcal{M}^d \times \mathbf{S}^1$ ($d \geq 4$)

$$ds^2 = -dt^2 + dr^2 + r^2 d\Omega_{d-2}^2 + dz^2 \quad z \sim z + L$$

asymptotics $g_{tt} \simeq -1 + \frac{c_t}{r^{d-3}}, \quad g_{zz} \simeq 1 + \frac{c_z}{r^{d-3}}$



2 (gauge-invariant) asymptotic quantities

Harmark,NO/Kol,Sorkin,Piran/
Traschen,Fox/Towsend,Zamaklar

$$M = \frac{\Omega_{d-2} L}{16\pi G_N} [(d-2)c_t - c_z], \quad \mathcal{T} = \frac{\Omega_{d-2}}{16\pi G_N} [c_t - (d-2)c_z]$$

mass

tension

1st law of thermo $\delta M = T\delta S + \mathcal{T}\delta L$

Smarr formula $TS = \frac{d-2}{d-1}M - \frac{\mathcal{T}L}{d-1}$

using Komar integral
(time-translation sym.)

(μ, n) phase diagram for KK BH's

→ dimensionless physical quantities

$$\mu = \frac{16\pi G_N}{L^{d-2}} M, \quad n = \frac{TL}{M}$$

rescaled mass relative tension

bounds $\mu \geq 0, \quad 0 \leq n \leq d-2$

Traschen/Shiromizu, Ida, Tomizawa

positive tension

gravitational force on test particle at infinity attractive

→ plot phase structure in terms of (μ, n) -phase diagram

1st law of thermo

$$\delta\mu = t \delta s$$

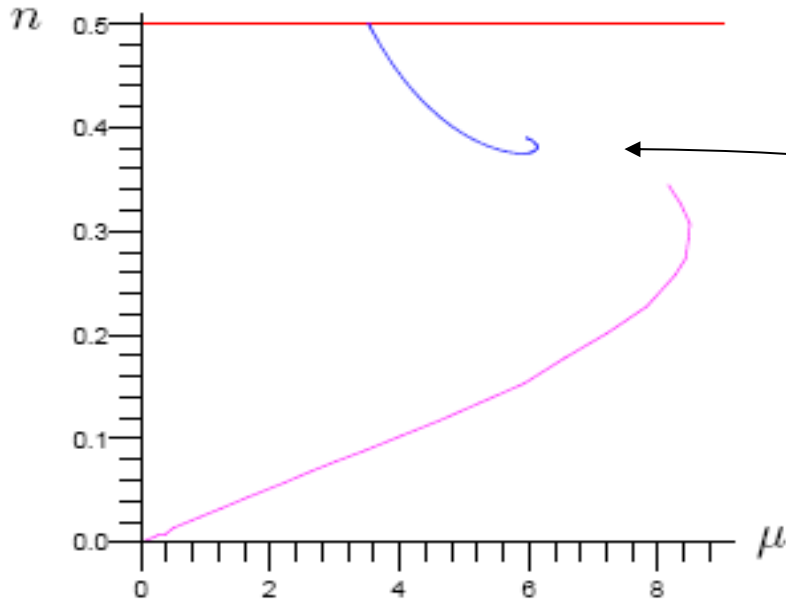
generalized Smarr

$$t s = \frac{d-2-n}{d-1} \mu$$

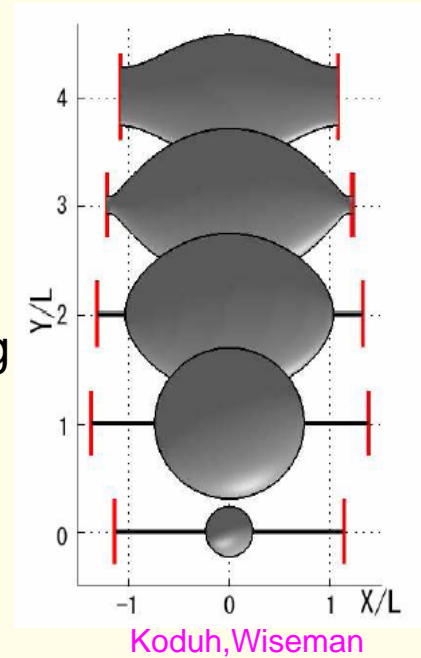
knowledge of curve $n(\mu)$ in phase diagram
can derive entire thermodynamics

black hole/string region

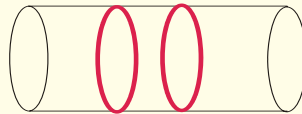
$$0 \leq n \leq \frac{1}{d-2}$$



black hole/black string transition

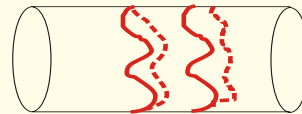


• uniform black string (US)



Schwarzschild-Tangherlini(d) \times S^1

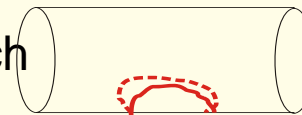
• non-uniform black string (NUS)



emanates from uniform at Gregory-Laflamme point

Gregory, Laflamme/Gubser/Wiseman/Sorkin
motivated in part by: Horowitz, Maeda

• localized black hole branch (LBH)



Schwarzschild ($d + 1$) + $\mathcal{O}(\mu)$

Harmark, NO/Harmar/Kol, Gorbonos/
Sorkin, Kol, Piran/Koduh, Wiseman

Black holes and strings on cylinders

- uniform black string phase

$$ds^2 = - \left(1 - \frac{r_0}{r}\right) dt^2 + \left(1 - \frac{r_0}{r}\right)^{-1} dr^2 + r^2 d\Omega_2^2 + dz^2$$

- non-uniform black string phase

Wiseman, Sorkin

Kleihaus, Kunz, Radu

$d < 13$: branch numerically known

$$n(\mu) = \frac{1}{2} - 0.14(\mu - \mu_{GL}) + \mathcal{O}((\mu - \mu_{GL})^2), \quad 0 \leq \mu - \mu_{GL} \ll 1$$

- localized black hole phase

$d=4,5$: branch numerically known

Kudoh, Wiseman

all d : (analytic) first correction to the metric known

Harmark/Kol, Gorbonos

recently: also second correction

Karasik et al/Chu, Goldberger, Rothstein

$$n(\mu) = \frac{\mu}{6^2} - \frac{\mu^2}{6^4}$$

The seeding solution

■ solutions with $0 < n < \frac{1}{2}$ \rightarrow SO(3) symmetry

Harmark,NO//Wiseman

$$ds_{4+1}^2 = -f dt^2 + \frac{L^2}{(2\pi)^2} \left[\frac{A}{f} dR^2 + \frac{A}{K^2} dv^2 + KR^2 d\Omega_2^2 \right], \quad f = 1 - \frac{R_0}{R}$$

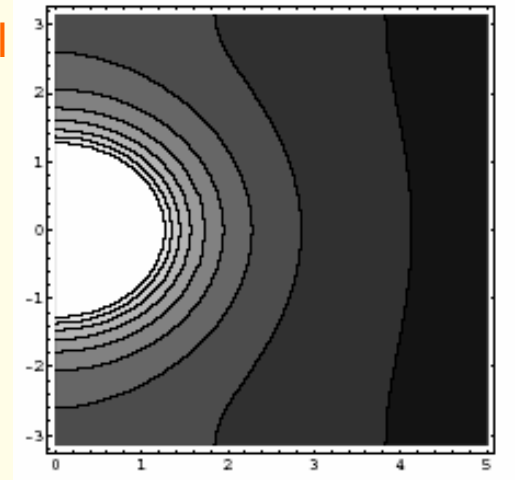
• involves two functions

• $A(R,v)$ determined in terms of $K(R,v)$

• (R,v) coordinates: interpolate between spherical - cylindrical

lines of constant R are equipotential surfaces
of extremal p-branes with transverse space
 $R^{d-1} \times S^1$

(equivalently: charged particle on circle)



Generating non- and near-extremal 3-charge black holes

- lift 5D KK BH to 10D \rightarrow act with three boosts + various U-dualities
 - \Rightarrow **non-extremal** D0-D4-F1 system with circle in transverse space
 - \rightarrow take near-extremal limit that keeps non-trivial physics of circle
 - \Rightarrow **near-extremal** D0-D4-F1 system with circle in transverse space

- map of physical quantities from neutral (μ, n) phase diagram to near-extremal 3-charge system

energy above extremality \swarrow

$$\epsilon = \frac{1}{2}\mu n, \quad r = 2, \quad r_a = 1, \quad a = 1, 4, 0$$
$$\hat{t} = t(ts)^{3/2}, \quad \hat{s} = s(ts)^{-3/2}$$

new feature (compared to 1-charge):

constant relative tension r in transverse circle
(collapse of the phases on top of each other)

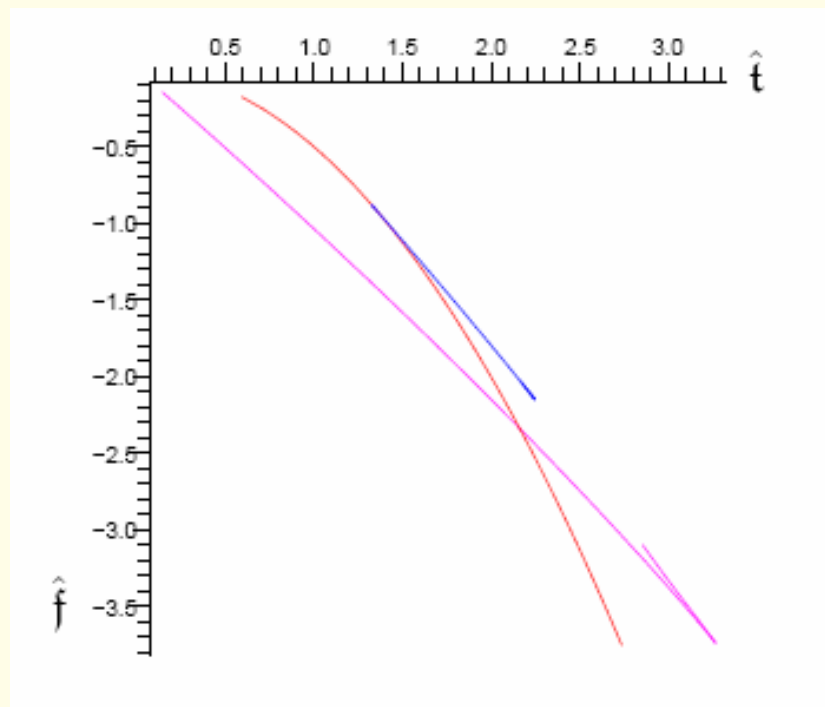
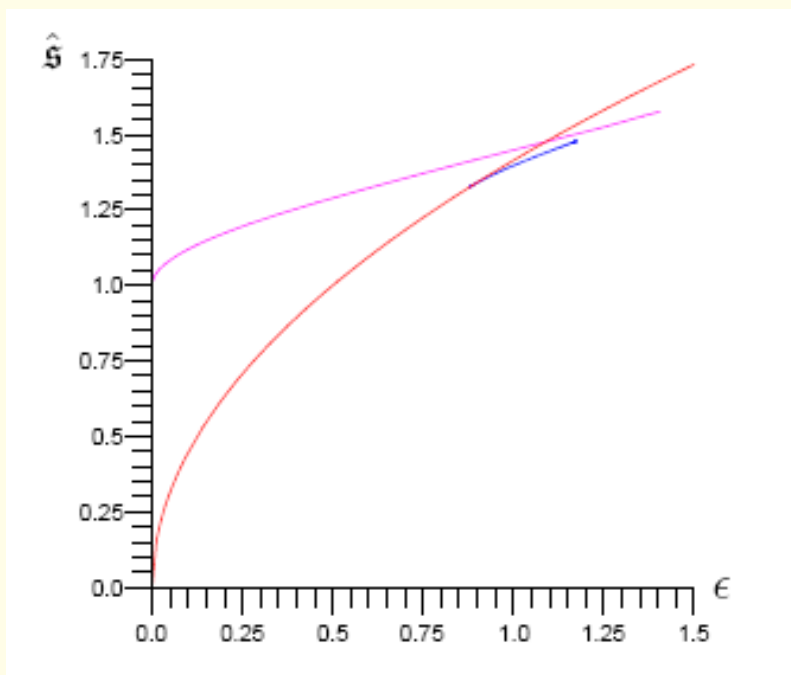
\searrow intimately related to the
finite non-vanishing entropy
in the extremal limit

Three phases of near-extremal 3-charge black holes

black hole/string phase map directly onto phases of near-extremal 3-charge black holes on a circle



- **uniform phase**: uniformly smeared over transverse circle
- **non-uniform phase**: non-uniformly distributed around circle
- **localized phase**: localized on transverse circle



Entropy for localized phase

- from analytic results for the corrected entropy of small localized KK BHs (in pure GR) we can thus get **correction to the finite entropy** for localized phase of near-extremal 3-charge BHs on circle

$$S = 2\pi\sqrt{N_1 N_4 N_0} \left(1 + \sqrt{\frac{\epsilon}{8}} + \frac{\epsilon}{16} + \mathcal{O}(\epsilon^{3/2}) \right)$$

- can also consider other cases + limits

- 2 charges large, 1 finite
- 1 charge large, 2 finite
- 2-charge BHs (1 charge =0)

Hagedorn behavior
in near-extremal limit

$$\epsilon = \rho_0^2 \sinh^2 \alpha_1 + \frac{1}{2} \rho_0^2 \left(1 + \frac{1}{16} \rho_0^2 \right) + \mathcal{O}(\rho_0^6)$$

$$\hat{s} = \rho_0 \cosh \alpha_1 \left(1 + \frac{1}{16} \rho_0^2 + \frac{1}{512} \rho_0^4 \right) + \mathcal{O}(\rho_0^7)$$



leading correction can be reproduced by microscopic derivation

Microscopic computation on circle

Consider D0-D4-F1 + go slightly off extremality by adding anti-D0

$$S = 2\pi\sqrt{N_1 N_4} \left(\sqrt{N_0} + \sqrt{N_{\bar{0}}} \right).$$

(entropy additive in dilute gas approximation)

write total mass of 3-charge system as

$$\bar{M} = Q_1 + Q_4 + \delta E + V_{\text{int}}$$

(see: Costa,Perry/Empanan,Teo
for application to collinear BHs/ diholes)



energy stored in tension

→ find effective number of D0/anti-D0 branes from

$$\delta E \simeq N'_0 + N'_{\bar{0}}, \quad Q_0 \simeq N'_0 - N'_{\bar{0}}$$

(shift due to interactions across circle)



microscopic entropy formula above reproduces first order corrected macroscopic entropy

higher corrections ?

Conclusion/Outlook

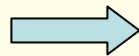
- generated new three-charge BH solutions when there is circle in transverse space
 - found first corrections to the finite entropy of (extremal) localized 3-charge BHs + matched first correction with microscopic calculation
 - new phase of non-uniformly distributed non- and near-extremal 3-charge BHs on circle
-

- matching with microstate counting for localized phase to **higher order** ?
- other new 3-charge solutions (from **black hole-Kaluza Klein bubble** phase of neutral KK BHs)
- further examination of non-uniform phase of 3-charge BHs and the **classical stability of the uniform phase** (cf correlated stability conjecture) (for 1-charge case: map of instability of neutral uniform string to that of smeared branes Aharonoy et al/Harmark, Niarchos, NO)
- relation to **Mathur's proposal**
very recently: **Chowdhury, Giusto, Mathur**: use 'brane fractionation' to propose microscopic picture of transition between black hole/string transition that reproduces many broad features of the phase diagram

Upper part of phase diagram

black holes/strings in region $0 \leq n \leq \frac{1}{d-2}$

what about region ? $\frac{1}{d-2} \leq n \leq d-2$



contains solutions with **Kaluza-Klein bubbles**

Witten



minimal S^2 surfaces
in space-time
(“bubble of nothing”)

static Kaluza-Klein bubble

$$ds^2 = -dt^2 + \left(1 - \frac{R^{d-3}}{r^{d-3}}\right) dz^2 + \frac{1}{1 - \frac{R^{d-3}}{r^{d-3}}} dr^2 + r^2 \Omega_{d-2}^2$$

to avoid conical singularity: z periodic with $L = \frac{4\pi R}{d-3}$

→ static KK bubble is static solution of pure gravity asymptoting to KK space

specific point in the phase diagram with $(\mu, n) = (\mu_b, d-2)$

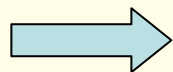
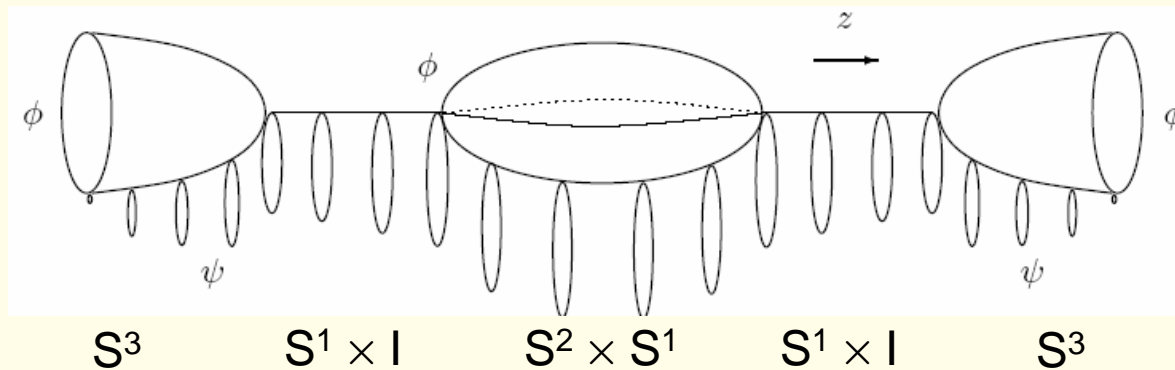
other instance of critical dimension: for $D \leq 10$ $\mu_b < \mu_{GL}$

Bubble-black hole sequences

Empan,Reall/Elvang,Horowitz/Elvang,Harmark,NO

- found explicitly using **generalized Weyl ansatz** in five and six dimensions (with compact circle)
- exact, regular and static solutions of vacuum Einstein equations
- **new topologies** (black ring $S^2 \times S^1$, black tuboid $S^2 \times S^1 \times S^1$)
- **infinite non-uniqueness**

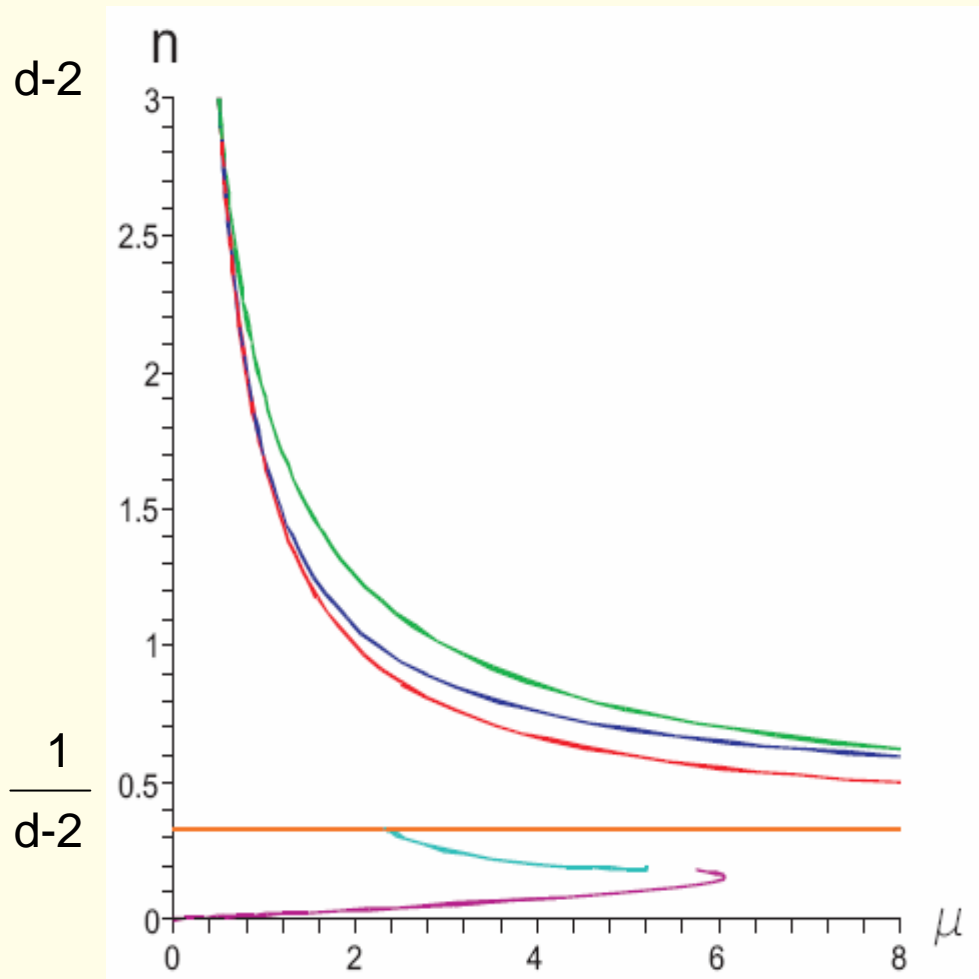
black hole – bubble – black hole- bubble – black hole



bubbles play double role:

- holding the black holes apart
- support S^1 's on horizon against gravitational collapse

Present knowledge of KK black holes



phase diagram for **six dimensions**

solutions with
Kaluza-Klein bubbles

BH – bub – BH – ... – bub

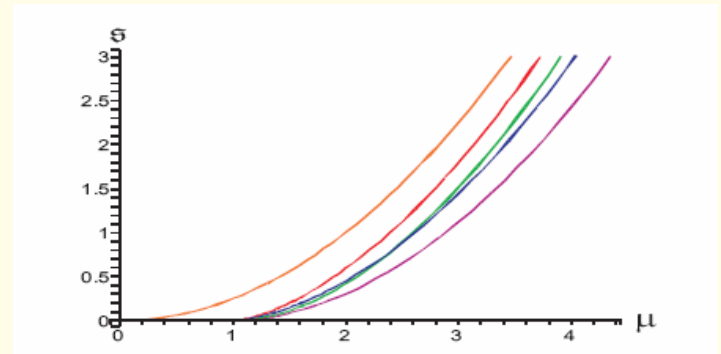
Emparan,Reall/Horowitz,Elvang/
Elvang,Harmark,NO

solutions without KK bubbles
and local $SO(d-1)$ symmetry

- black holes: S^{d-1}
- black strings: $S^{d-2} \times S^1$

Some features of bubble-black hole sequences

- (p, q) bubble-black hole sequences have q parameters (size of each black hole) \rightarrow large degree of non-uniqueness
- map between 5D and 6D case
- can associate temperature to each disconnected horizon component
-special 1-parameter class of solutions exists with all temperatures equal (minimizes entropy)
- entropy of $(1, 1)$ solution always less than uniform string of same mass



- **stability** ?
-static bubble is unstable (GPY negative mode): expands/collapses

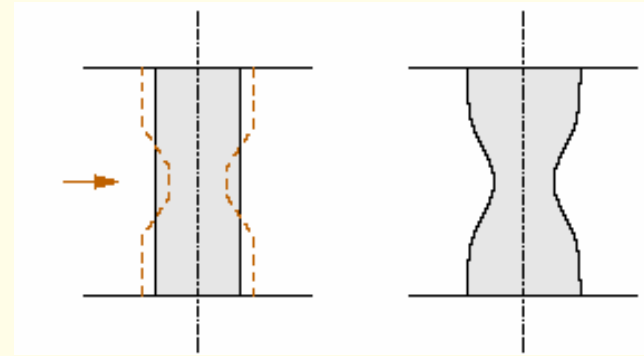
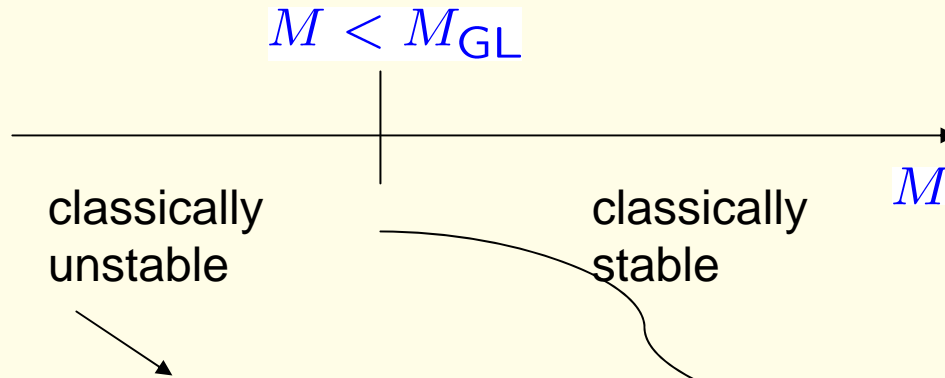
Gregory-Laflamme instability

Gregory, Laflamme (1993)

GL instability of neutral black strings: perturbations oscillating in direction

In which string extends

$$\lambda \gtrsim r_{\text{hor}}$$



threshold mode ($\Omega = 0$)

non-uniform static solution emerges

$$\delta g_{\mu\nu} \sim e^{i\mu z} h_{\mu\nu}, \quad h_{\mu\nu} \propto e^{\Omega t}$$

$$\Delta_{\text{Lich}} \delta g_{\mu\nu} = 0$$

thermodynamic argument for instability: $S_{\text{bh}} > S_{\text{bs}}$ for small masses



interpretation: black string decays to black holes

important observation:

classical Lorentzian threshold unstable mode corresponds to Euclidean negative mode

$$\tilde{\Delta}_{\text{Lich}} h_{\mu\nu} = -\mu^2 h_{\mu\nu}$$



From Neutral Strings To Smearred Dp-branes

solutions of pure gravity with event horizon asymptoting to d -dimensional Minkowski-space times a circle ($d = 9 - p$)

for recent reviews: Harmark,NO/Kol



static and neutral
Kaluza-Klein black holes

boost/
U-duality



Harmark,NO/Bostock,Ross/
Aharony,Marsano,Minwalla,Wiseman

non- and near-extremal
 D_p -branes
on a transverse circle



neutral black strings



smearred Dp-branes

GL point



critical mass/energy point

unstable mode at threshold:
new static phase of non-uniform
strings emerges

Gregory,Laflamme/Gubser/Wiseman

new phase of non- and near-extremal
branes non-uniformly distributed
on circle emerges

suggests that non- and near-extremal smearred branes exhibit **classical instabilities**